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DmT  
2-21-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: NI, et al.

Application Serial No.: 09/911,346

Group Art Unit: 1646

Filed: July 24, 2001

Examiner: Not assigned

For: TNFR Related Gene 12

Attorney Dkt. No.: PF199D2

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Attn: Office of Initial Patent  
Examination's Customer Service Center

Sir:

100/2900  
FEB 19 2002  
/ED

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, please change the first name of the first inventor from "Jiar" to - Jian --.

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the correction noted in red. It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

RECEIVED  
FEB 19 2002  
TECH CENTER 1600/2900

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3425. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

Dated: February 6, 2002

  
Janet M. Martineau (Reg. No. 46,903)  
Attorney for Applicants

**Human Genome Sciences, Inc.**  
9410 Key West Avenue  
Rockville, MD 20850  
(301) 309-8504

Enclosures: Duplicate filing receipt with corrections  
Duplicate of this sheet



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/911,346	07/24/2001	1646	3126	PF199D2	8	132	8

22195  
HUMAN GENOME SCIENCES INC  
9410 KEY WEST AVENUE  
ROCKVILLE, MD 20850

RECEIVED

JAN 22 2002  
JKE CB  
HGS PATENT DEPT.

CONFIRMATION NO. 4965

FILING RECEIPT



\*OC000000007319612\*

Date Mailed: 01/17/2002

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FEB 9 2002  
TECH CENTER 1600/200

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Jian~~ Ni, Gaithersburg, MD;  
Guo-Liang Yu, Darnestown, MD;  
Reiner Gentz, Silver Spring, MD;  
Craig A. Rosen, Laytonsville, MD;

"JIAN"

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/407,891 09/29/1999 PAT 6,294,164  
WHICH IS A DIV OF 08/467,265 06/06/1995 PAT 6,255,079

## Foreign Applications

If Required, Foreign Filing License Granted 01/16/2002

Projected Publication Date: 04/25/2002

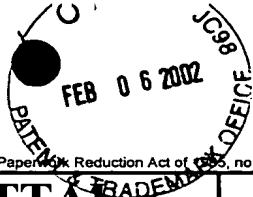
Non-Publication Request: No

Early Publication Request: No

## Title

Natural killer cell enhancing factor C

## Preliminary Class



PTO/SB/17 (11-06)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

(Substitute form)

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/911,346
Filing Date	July 24, 2001
First Named Inventor	NI et al.
Examiner Name	Not assigned
Group Art Unit	1646
Attorney Docket Number	PF199D2

Total amount of payment

\$0.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 08-3425

Deposit Account Name Human Genome Sciences, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other\*

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) \$0.00

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20*	\$18.00	
Independent Claims	-3*	\$84.00	
Multiple Dependent		\$280.00	

Large Entity		Small Entity		Claims in excess of 20
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
108	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0.00

\* or number previously paid, if greater: For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
142	1,280	242	640	Utility issue fee (or reissue)
195	300	195	300	Publication fee for early, voluntary, or normal publication
179	740	279	370	Request for Continued Examination (RCE)
098	130	098	130	Processing fee, except in provisional applications
126	180	126	180	Submission of Information Disclosure Statement
123	50	123	50	Processing fee for provisional applications
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))
145	100	145	100	Certificate of correction
147	2,520	147	2,520	Request for ex parte reexamination
099	8,800	099	8,800	Request for inter partes reexamination
148	110	248	55	Statutory disclaimer
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
122	130	122	130	Petitions to the Commissioner
140	110	240	55	Petition to revive unavoidably abandoned application
141	1,280	241	640	Petition to revive unintentionally abandoned application
091	1,280	091	1,280	Acceptance of an unintentionally delayed claim for priority
561	3	561	3	Printed copy of patent, regular service
581	40	481	40	Recording each patent assignment per property (times number of properties)

Other fee (specify):

Other fee (specify):

Other fee (specify):

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$0.00

## Submitted By

Name (Print/Type) Janet M. Martineau

Registration No.: 46,903

Telephone (301) 315-2723

Signature:

Date: February 6, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.